

BEFORE THE SECURITIES APPELLATE TRIBUNAL  
MUMBAI

**Date : 04.01.2021**

**Appeal No. 203 of 2019**

Shiv Kumar Agarwal

... Appellant

Versus

Securities & Exchange Board of India

...Respondent

Mr. Vinay Chauhan, Advocate with Mr. K. C. Jacob, Advocate i/b  
Corporate Law Chambers India for the Appellant.

Mr. Abhiraj Arora, Advocate i/b ELP for the Respondent.

ORDER :

1. List this appeal for admission and for final disposal on  
January 28, 2021. Scanned copy of memo of appeal, etc. shall be  
filed by the appellant before the registry.

2. Parties will take instructions from the Registrar 48 hrs. before  
the date fixed in order to find out as to whether the appeal would be  
heard through video conference or through physical hearing.

3. The present matter was heard through video conference due to Covid-19 pandemic. At this stage it is not possible to sign a copy of this order nor a certified copy of this order could be issued by the Registry. In these circumstances, this order will be digitally signed by the Private Secretary on behalf of the bench and all concerned parties are directed to act on the digitally signed copy of this order. Parties will act on production of a digitally signed copy sent by fax and/or email.

Justice Tarun Agarwala  
Presiding Officer

Dr. C. K. G. Nair  
Member

Justice M. T. Joshi  
Judicial Member

04.01.2021  
PTM